

PRESENTERS

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Lloyd is a banking and financial services lawyer with a particular focus on governance, managed funds, and financial services regulation. He leads Minter Ellison Rudd Watts' financial services practice and has extensive experience in a wide range of investment products including equity and debt offerings, unit trusts, Kiwisaver and superannuation schemes, and securities and companies' law advice.

Lloyd is a former member of the New Zealand Securities Commission, and has a strong background in regulatory issues relating to the financial markets including the Reserve Bank of New Zealand Act, Securities Act, Financial Advisors Act and AML/CFT law. He advises clients with respect to both the current AML/CFT regime (under the Financial Transactions Reporting Act 1996 and the Terrorism Suppression Act 2002) and the upcoming changes to New Zealand's AML/CFT regime, following the passage of the Anti-Money Laundering and Countering Financing of Terrorism Act 2009.

Lloyd has presented seminars and spoken at conferences on AML/CFT in New Zealand and Australia.

The statements and conclusions contained in this booklet are those of the author only and not those of the New Zealand Law Society. This booklet has been prepared for the purpose of a Continuing Legal Education course. It is not intended to be a comprehensive statement of the law or practice, and should not be relied upon as such. If advice on the law is required, it should be sought on a formal, professional basis.

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